REPUBLIC OF THE PHILIPPINES
DEPARTMENT OF AGRICULTURE
OFFICE OF THE SECRETARY
Elliptical Road, Diliman, Quezon City, Philippines

KABUHAYAN AT KAUNLARAN NG KABABAYANG KATUTUBO

MINDANAO INCLUSIVE AGRICULTURE DEVELOPMENT PROJECT (MIADP)

ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN (ESCP)

October 2021

THE MINDANAO INCLUSIVE AGRICULTURE DEVELOPMENT PROJECT (MIADP)

ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN (ESCP)

1. The Republic of the Philippines (hereinafter referred to as the Borrower), through the Department of Agriculture - Kabuhayan at Kaunlaran ng Kababayang Katutubo (DA-4K), as the lead implementing agency will implement the Mindanao Inclusive Agriculture Development Project (MIADP) in coordination with the National Commission for the Indigenous People (NCIP) and other relevant government agencies/departments/units to capacitate and engage the Indigenous People (IPs) of Mindanao into the Agri-Fisheries Industry. The World Bank (hereinafter referred to as the Bank (has agreed to provide financing for the Project.

2. The Borrower, through the DA, will implement material measures and actions so that the Project is implemented in accordance with the Environmental and Social Standards (ESS). This Environmental and Social Commitment Plan (ESCP) sets out material measures and actions, any specific documents or plans, as well as the timing for each of these.

3. The Borrower, through the DA, will also comply with the provisions of any other Environmental and Social (E&S) documents required under the ESF and referred to in this ESCP, such as the Environmental and Social Management Framework (ESMF), Labor Management Procedures (LMP), and Stakeholder Engagement Plan (SEP), and the timelines specified in those E&S documents.

4. The Borrower, through the DA, is responsible for compliance with all requirements of the ESCP even when implementation of specific measures and actions is conducted by the Department DA-4K as referenced in first paragraph.

5. Implementation of the material measures and actions set out in this ESCP will be monitored and reported to the Bank by the Borrower, through the DA, as required by the ESCP and the conditions of the legal agreement, and the Bank will monitor and assess progress and completion of the material measures and actions throughout implementation of the Project.

6. As agreed by the Bank and the Borrower, this ESCP may be revised from time to time during project implementation, to reflect adaptive management of the project changes and unforeseen circumstances or in response to assessment of project performance conducted under the ESCP itself. In such circumstances, the Borrower, through the DA will agree to the changes with the Bank and will update the ESCP to reflect such changes. Agreement on changes to the ESCP will be documented through the exchange of letters signed between the Bank and the Borrower. The Borrower, through the DA, will promptly disclose the updated ESCP.

7. Where the project changes, unforeseen circumstances, or project performance result in changes to the risks and impacts during project implementation, the Borrower shall provide additional funds, if needed, to implement actions and measures to address such circumstances, which may include the risks and impacts to the MIADP Beneficiaries (the IPs/ICCs), to the Environment, Health, and Safety.

ENVIRONMENTAL ANG SOCIAL COMMITMENT PLAN (TABLE)

	MATERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
MONITORING AND REPORTING			
A	**REGULAR REPORTING** Preparation and submission to the World Bank (WB) of the Regular Monitoring Reports on the Implementation of the Environmental and Social (E&S) documents (including assessment of site-specific plans) and ESCP, Stakeholder Engagement Activities, and Functioning of the Grievance Mechanism.	Quarterly Progress reports shall be submitted to the Bank on an agreed format not later than fifteen (20) days after the end of each quarter. The reporting format will be agreed by First Implementation Support Mission.	DA MIADP-PMO DA MIADP-RPCSO
B	**INCIDENTS AND ACCIDENTS** Promptly notify the World Bank (WB) of any incident or accident related to the Project which has, or is likely to have, a significant adverse effect on the environment, the affected communities, the public or workers. Provide sufficient details regarding the incident or accident, indicating immediate measures taken or that are planned to be taken to address it, and any information provided by any contractor/subcontractor and supervising entity, as appropriate. Subsequently, as per the Bank's request, prepare a report on the incident or accident and propose any measures to prevent its recurrence.	Report incident or accident to the Bank no later than forty eight (48) hours after learning of the incident or accident and provide investigation report within one week (to conform to Safeguards Incident Reporting Toolkit (SIRT) in case of accidents). Status of action taken to be submitted within a period of 7 days from the incident	DA MIADP-RPCSO
ESS 1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS			
1.1	**ORGANIZATIONAL STRUCTURE (OS)**		

	(a) Establish and Maintain an Organizational Structure (OS) with Qualified Staff and Resources to Support the Management of E&S risks and the implementation of the E&S documents. In particular:	No later than ninety days (90) days after the Effective Date and throughout project implementation	DA MIADP-PMO) DA MIADP-RPCSO
	(i) At National Level, Establish, and thereafter Maintain a Project Management Office (PMO) within the DA, which shall be responsible for overall management/administration of the Project's environmental and social risk and impact;	Prior to the commencement of any project/sub-project activity in each region and also throughout the project implementation	
	(ii) For each Region, Establish, and thereafter Maintain a Regional Project Coordination and Support Office (RPCSO), which shall be responsible for management/ administration of the Project/Sub-project's Environmental and Social Risk and Impact of Project/Sub-Project Activities implemented at the Regional Level; and	Prior to the commencement of any project activity in each province and also throughout the project implementation	
	(iii) For each Municipality where a Project/Sub-Project exists, establish and thereafter maintain a Local Project Management Implementation Unit (LPMIU), which shall be responsible for management/ administration of the Project's Environmental and Social Risk and Impact of Project/Sub-project Activities implemented at the Municipal Level and also to the Ancestral Domain (AD) territories.	Ninety (90) days after the Effective Date	
	(b) Establish and Maintain the linkages with the Partner Agencies – Department of Environment and Natural Resources (DENR), National Commission on the Indigenous People (NCIP), Local Government Units (LGUs) through Formal Institutional Arrangements (e.g. JAO, MOU, MOA)	Prior to the commencement of any project activity in each province and also throughout the project implementation	

1.2	**ENVIRONMENTAL AND SOCIAL ASSESSMENT (ESA)**		
	Update, Adopt and Implement the ESA & ESMF that has been prepared for the MIADP, in a manner acceptable to the requirements of the Bank	Throughout the project implementation	DA MIADP-PMO
	In parallel with the inventory prepared during the first year, undertake detailed assessment of E&S risks as required by the ESA and ESMF prepared for the Project, particularly concerning Infrastructure Development (Component 2) and Enterprise Development (Component 3), and as needed, propose additional Mitigation Measures to be incorporated into the approved ESMF, LMP, and SEP. Submit Assessment Report and revise the ESMF, LMP, SEP, as needed, for the Bank's approval.	Prior to the commencement of field level operations	DA MIADP-PMO
	The DA MIADP-PMO will develop, adopt, and implement acceptable procedures and guidelines for undertaking site-specific Environmental and Social Impact Assessment (ESIA), using an Environmental and Social Screening Checklist (ESSC) in a manner acceptable to the Bank. The site-specific ESIA will be updated for each proposed project/sub-project in accordance with the guidelines provided in the approved ESMF, SEP and LMP.	Prior to the commencement of field level operations	DA MIADP-PMO

1.3	**MANAGEMENT TOOLS AND INSTRUMENTS** Screen and assess the E&S Risks and Impacts for Site-Specific Infrastructure Development (IP-RAPID, Component 2) and Enterprise Development (IP-PROCEED, Component 3) Activities in compliance with the approved ESMF, SEP, and LMP and in a manner acceptable to the Bank. provide orientation to beneficiaries (e.g. on their rights and obligations), link beneficiaries to support services, and draft, adopt, and implement site-specific E&S management plans, including Environmental Code of Practice (ECOP) to mitigate the negative impacts of the MIADP sub-projects.	Site-Specific ESIA and ESMP will be prepared during the Social Preparation Phase Sub-project contractors will be required to submit ESMP before DA MIADP-PMO grant a Clearance and a Notice to Proceed for these sub-projects. DA will furnish any ESF/ESS related plans upon the request of the Bank	DA MIADP-RPCSO DENR Regional Field Office (RFOs) NCIP Regional Field Offices (RFO) Local Project Management and Implementation Unit (LPMIU) of each Province/Municipal
ESS 2: LABOR AND WORKING CONDITIONS			
2.1	**LABOR MANAGEMENT PROCEDURES (LMP)** Prepare, Adopt, Implement and Monitor the Labor Management Procedures (LMP) that has been developed for the MIADP. The said document must be in accordance with guidelines of ESS2 of WB ESF, including the Occupational, Health and Safety measures.	Implement the LMP throughout project implementation Update the LMP when needed and in agreement with the Bank	DA MIADP-RPCSO (Regional Environmental and Social Safeguard Officers) LPMIU
2.2	**GRIEVANCE MECHANISM FOR PROJECT WORKERS** Establish, Adopt and Implement the Grievance Mechanism for Project Workers (these includes Direct Workers, Contract Workers, Community Workers and Primary Supply Workers), as described in the LMP and in accordance with the guidelines of ESS2 of the WB ESF.	Grievance Mechanism must be operational prior to the engaging Project Workers and must be maintained all throughout project implementation	DA MIADP-RPCSO (Environmental and Social Safeguard Officers) MIADP Community-Based Project Monitoring and Evaluation Team (CPMET)

	Maintain Grievance Log (Records) and Monitoring Reports, which shall be shared with the Bank.	Reporting and Sharing with the Bank	NCIP Regional Offices (RO) LPMIU
2.3	**Prepare, implement and monitor site-specific and/or sub-project specific occupational health and safety plan as part of the ESMP**	Prepare OH&S plan during social preparation Submit report on status of OH&S plan as part of quarter progress report	DA MIADP-RPCSO
ESS 3: RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT			
3.1	**RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT** Update, Adopt, Implement and Monitor the MIADP ESMF, Waste Management Policy (Land/Solid, Water and Air Quality) on each Subproject within the ADs	Throughout the Project Implementation particularly in the Infrastructure Development (Component 2) and Enterprise Developments (Component 3) And prior to implementation of individual subprojects	DA MIADP-RPCSO

ESS 4: COMMUNITY HEALTH AND SAFETY			
4.1	**COMMUNITY HEALTH AND SAFETY:** Prepare, Adopt, Implement and Monitor the Project Worker's Code of Conduct, which is included in the Environmental and Social Management Framework (ESMF). Mitigate Potential Risks and Impacts to the IPs/ICCs arising from these project/sub-project activities, including the behavior of Project Workers, Cultural and Gender Sensitivity-Related Risks, in a manner acceptable to the Bank	Throughout project implementation	DA MIADP-RPCSO (Regional Environmental and Social Safeguard Officers) LPMIU Subproject Contractors Indigenous Peoples Organizations (IPOs)
4.2	**SECURITY AND PROTECTION:**		

	Adopt, Implement and Monitor the Security, Peace and Order Framework of the MIADP ESMF when required, consistent with the guidelines of ESS4 from ESF, in a manner acceptable to the Bank	Adopt Prior to Engaging Government Law Enforcement personnel and thereafter implemented throughout the MIADP Implementation (in areas with known security threats).	DA MIADP-RPCSO (Regional Environmental and Social Safeguard Officers) LPMIU LGUs (Provincial and Municipal Level Peace, Security and Order Office) Indigenous Peoples Organizations (IPOs)
4.3	**ROAD SAFETY:** Prepare, Adopt, Implement and Monitor the Road Safety and Traffic Management as indicated in the MIADP ESMF when required, consistent with the guidelines of ESS4 from ESF, in a manner acceptable to the Bank	Throughout the MIADP implementation	DA MIADP-RPCSO (Regional Environmental and Social Safeguard Officers) LPMIU LGUs (Provincial and Municipal Level Peace, Security and Order Office) Indigenous Peoples Organizations (IPOs)
4.4	**PUBLIC HEALTH CRISIS:** Prepare, Adopt, Implement and Comply with the DOH/IATF COVID-19 Pandemic Guidelines and Observe Quarantine Protocols	Throughout the implementation of the MIADP (subject to Pandemic situation)	DA MIADP-PMO (Environmental and Social Safeguard Specialist/Head) DA MIADP-RPCSO (Regional Environmental and Social Safeguard Officers) DOH - Regional Offices (DOH-RO)

			LGUs (Municipal Health Office) Indigenous Peoples Organizations (IPOs)
ESS 5: LAND ACQUISITION, RESTRICTIONS ON LAND USE AND INVOLUNTARY RESETTLEMENT			
5.1	**LAND ACQUISITION** MIADP will seek authority from the respective IP council of the participating ADs for the use of the public spaces/areas in accordance with their customary laws and traditions.	As part of the social preparation	DA MIADP-RPCSO (Regional Environmental and Social Safeguard Officers) LPMIU NCIP Regional Field Offices (RFO) Indigenous Peoples Organizations (IPOs) IPs/ ICCs
ESS 6: BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF LIVING NATURAL RESOURCES			
6.1	**NATURAL HABITAT** Prepare, Adopt, Implement and Comply to the guidelines of the Biodiversity Management Plan (BMP) in accordance with the approved ESMF. The Site-Specific BMP will include Policies and Procedures for the Screening and Determining of the Natural Habitats with the ADs	Planning Stage prior to the Implementation of the Infrastructure and Enterprise Development (Component 2 and Component 3) subprojects activities	DA MIADP-RPCSO (Regional Environmental and Social Safeguard Officers) LPMIU CPMET DENR RFO, DENR BMB
6.2	**PROTECTION OF ENDEMIC SPECIES AND MANAGEMENT OF INVASIVE ALIEN SPECIES**		DA MIADP-RPCSO (Regional Environmental and Social Safeguard Officers)

	Prepare, Adopt, Implement and Monitor the Inventory of Endemic Species as part of the ESSC and ESIA. Prepare Biodiversity Management Plan (BMP) for each ADs as possible	Planning Stage prior to the Implementation of the Infrastructure and Enterprise Development (Component 2 and Component 3) Subprojects Activities	LPMIU CPMET DENR-RFO, DENR-BMB
ESS 7: INDIGENOUS PEOPLES			
7.1	**INDIGENOUS PEOPLES PLAN AND FRAMEWORK (IPPF)**: Prepare and implement a Detailed Field Guide on the IP participation and mobilization during the Social Preparation as well as throughout the MIADP implementation and monitoring which will be part of the Operations Manual (OM). The said document shall be in accordance with the ESS7, ESS8 and ESS10 in a manner acceptable to the Bank.	First three months of the project implementation Throughout the MIADP implementation	DA MIADP-RPCSO (Regional Environmental and Social Safeguard Officers) NCIP Regional Offices (RO) Indigenous Peoples' Organizations (IPOs)
7.2	**FREE, PRIOR AND INFORMED CONSENT (FPIC)** The MIADP will consider the provisions of the IPRA Law and NCIP Administrative Order (AO) No. 3 Series of 2012 for FPIC as an instrument (validation tool) before implementing such MIADP projects/sub-project activities. .	During the Infrastructure Feasibility Study and Detailed Engineering Design or Business Plan for Enterprise Development as part of the social preparation	DA MIADP-RPCSO (Regional Environmental and Social Safeguard Officers) NCIP Regional Offices (RO) Indigenous Peoples' Organizations (IPOs) LPMIU Enterprise Partners
ESS 8: CULTURAL HERITAGE			
8.1	**SCREENING FOR CULTURAL HERITAGE**:	Throughout the project implementation	

	Prepare, Adopt, Implement and Monitor a Cultural Heritage Management Plan (CHMP) as part of the ESMF Screen Site-Specific Subprojects in accordance with the CHMP, to ensure that the identification and avoidance of impacts on Tangible and Intangible Cultural Heritage Values Prepare, Adopt, implement and monitor inventory of Tangible and Intangible cultural heritage properties with the coordination from the National Center for Culture and Arts (NCCA), National Historical Commission of the Philippines (NHCP) or the National Museum (NM)		DA MIADP RPCSO (Regional Environmental and Social Safeguard Officers) NCIP Regional Field Office (RO) NCCA, NHCP or NM

ESS 9: FINANCIAL INTERMEDIARIES			
	NOT APPLICABLE IN THIS PROJECT		
ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE			
10.1	**STAKEHOLDER ENGAGEMENT PLAN PREPARATION AND IMPLEMENTATION**: Update (when needed), Adopt, and Implement the guidelines from the Stakeholder Engagement Plan (SEP)	Prepare, Disclose and Adopt before the Appraisal Implement throughout the MIADP Project/Sub-projects implementation	DA MIADP-RPCSO (Regional Environmental and Social Safeguard Officers) CPMET (e.g. IPOs, IPs/ICCs and others) LPMIU
10.2	**COMMUNICATION PLAN**: Prepare a Detailed Communication Plan as part of the contents of the Operations Manual (OM), including Orientation, Awareness Raising for the	Prepare within the first three months of the project implementation	DA MIADP-PMO (Environmental and Social Safeguard Specialist/Head)

	MIADP beneficiaries such as the IPs/ICCs. Part of the activities of the IP-ADOPT and IP-CARE Components.	Implement throughout the MIADP Project/Sub-projects implementation	DA MIADP-RPCSO (Regional Environmental and Social Safeguard Officers)
10.3	**PROJECT GRIEVANCE MECHANISM:** Update (when needed), Adopt, Maintain and Operate a Grievance Mechanism, as part of the ESMF and the Operations Manual (OM) ‘’ Report on the GRM as part of the Project Regular Progress Report	Develop as part of OM beforehand within the first 3 months of implementation Install GRM within the first year of implementation Quarterly (Synchronized with the Timeframe of the Progress Reports)	DA MIADP-RPCSO (Regional Environmental and Social Safeguard Officers) CPMET LPMIU
CAPACITY SUPPORT (TRAINING)			
CS1	Training for the DA MIADP (PMO & RCPSO) ESS Focal Persons (Regional, Provincial & Municipal Level) on ESMF, LMP, SEP and other related technical and legal documents/instruments ● Preparation of the Environmental and Social Impact Assessment (ESIA) ● Preparation of the Environmental and Social Management Plan (ESMP) ● Stakeholder Mapping and Engagement ● Specific Aspects on Environment and Social Assessment (ESA) ● Environmental and Social Management Plan (ESMP)	Before the Deployment of the DA MIADP (PMO & RCPSO) Staff	DA MIADP-PMO (Environmental and Social Safeguard Specialist/Head) DA MIADP-RPCSO (Regional Environmental and Social Safeguard Officers)

	• Emergency Preparedness and Response • Community Health & Safety • Orientation on the Cultural Sensitivity for Stakeholders • Biodiversity Management Plan (BMP) • Indigenous People Plan and Framework (IPPF) • Cultural Heritage Management Plan (CHMP) • Grievance Redress Mechanism (GRM) • Environmental and Social Dispute Resolution • Project Documentation and Reporting • And other related trainings as required during the project		